# **Computational Historical Linguistics**

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Current Trends in Linguistics

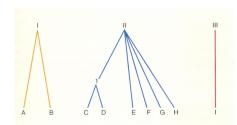
November 3, 2016

# Similarity between languages

#### Eine Klassifikationsübung nach der vergleichenden Methode à la Merritt Ruhlen:

Sprache	zwei	drei	ich	du	wer?	nicht	Mutter	Vater	Zahn	Herz	Fuß	Maus	er trägt
A	?iθn-	θalāθ-	-ni	-ka	man	lā	?umm-	abū	sinn	lubb	rijl-	fār	yaḥmil-
В	ſn-	šaloš	-ni	-ka	mi	lo	?em	аβ	šen	leβ	regel	Sakbor	nośeh
С	duvá	tráyas	mấm	tuvám	kás	ná	mātár	pitár-	dant-	hṛd-	pád	muș-	bhárati
D	duva	θrāyō	mạm	tuvəm	čiš	naē-	mātar-	pitar-	dantan-	zərəd	paiðya		baraiti
E	duo	treîs	eme	sú	tís	ou(k)	māter	pater	odốn	kardiā	pod-	mûs	phérei
F	duo	trēs	mē	tū	kwis	ne-	māter	pater	dent-	kord-	ped-	mūs	fert
G	twai	θreis	mik	θu	hwas	ni	aiθei	faðar	tunθus	haírtō	fōt		baíriθ
Н	dó	trí	-m	tú	kía	ní-	máθir	aθir	dēt	kride	traig	lux	berid
1	iki	üč	ben-i	sen	kim	deyil	anne	baba	diš	kalp	ayak	sičan	tašiyor

#### Similarity between languages



Klassifizieren Sie die angegebenen neun Sprachen (von A bis I) in Familien und Unterfamilien und vergleichen Sie den Wortschatz für die 13 Wörter, die hier in phonetischer Umschrift geboten werden. Lösung: Sprache A und B (Arabisch und Hebräisch) gehören zur Familie der semitischen Sprachen. Die sechs Sprachen. Die sechs Sprachen C bis H (Sanskrit, Awestisch, Altgrie-

chisch, Latein, Gotisch und Altirisch) sind indogermanische Sprachen. I (Türkisch) läßt sich keiner Familie zuordnen. Mit einer längeren Wortliste kann man nach demselben Verfahren die Familien wieder in Überfamilien einteilen usw. Der Stammbaum, den man so erhält, würde dann beweisen, daß alle Sprachen von einer Muttersprache abstammen.

#### Multilateraler Sprachenvergleich

Schlichtes Vergleichen einiger Allerweltswörter erhellt bereits die Verwandtschaftsverhältnisse unter den Sprachfamilien Indoeuropäisch (mit den Zweigen Germanisch, Romanisch und Slawisch) sowie Uralisch-Jukagirisch und Baskisch.

Sprachfamilie	Sprache	eins	zwei	drei	Kopf	Auge	Nase	Mund
	Schwedisch	en	tvo	tre	hyvud	øga	næsa	mun
0	Niederländisch	ēn	tvē	drī	hōft	ōx	nøs	mont
Germanisch	Englisch	wən	tū	θrī	hed	ai	nouz	mauθ
	Deutsch	ains	tsvai	drai	kopf	augə	nāzə	munt
	Französisch	õe/yn	dø	trwa	tet	œj	ne	buš
	Italienisch	uno	due	tre	testa	okjo	naso	boka
Romanisch	Spanisch	uno	dos	tres	kabesa	охо	naso	boka
	Rumänisch	un	doi	trei	kap	oki	nas	gurə
	Polnisch	jeden	dva	ťři	gwova	oko	nos	usta
Slawisch	Russisch	adin	dva	tri	galava	oko	nos	rot
	Bulgarisch	edin	dva	tri	glava	oko	nos	usta
Uralisch-	Finnisch	yksi	kaksi	kolme	pæ	silmæ	nenæ	sū
Jukagirisch	Estnisch	yks	kaks	kolm	pea	silm	nina	sū
Baskisch	Baskisch	bat	bi	hiryr	byry	begi	sydyr	aho

#### **Sound laws**

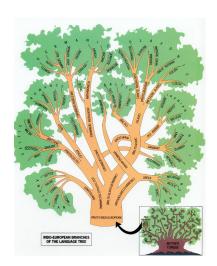
Erste bzw. Germanische Lautverschiebung (Indoeuropäisch → Germanisch)	Phase	Zweite bzw. Hochdeutsche Lautverschiebung (Germanisch→Althochdeutsch)	Beispiele (Neuhochdeutsch)	Jahrhundert	Dialektgebiete
	1	/*p/→/ff/→/f/	niederdeutsch: slapen, englisch: sleep → schlafen; niederdeutsch und englisch: Schipp, ship → Schiff niederdeutsch: scherp, englisch: sharp → scharf	4/5	oberdeutsch und mitteldeutsch
G: /*b/→/*p/	2	niederdeutsch: Peper, englisch: pepper → Pfeffer; niederdeutsch: Plauch, englisch: plough → Pflug; niederdeutsch: scherp, englisch: sharp, althochdeutsch: scarb, mittehochdeutsch: scharpf		6/7	oberdeutsch
	1	/*t/→/ss/→/s/	niederdeutsch: dat, wat, eten; englisch: that, what, eat → das, was, essen	4/5	ober- und mitteldeutsch <sup>1</sup>
G: /*d/→/*t/	2	nlederdeutsch: Tiet, englisch: tide (Gezeiten), schwedisch: tid → Zeit; nlederdeutsch: ver-teilen, englisch: teil → er-zählen; Timmermann – Zimmermann		5/6	ober- und mitteldeutsch
G: /*g/→/*k/	1	/*k/→/xx/→/x/	niederdeutsch: ik, altenglisch: ic → ich; niederdeutsch und englisch: maken, make → machen; niederdeutsch: auk → auch	4/5	ober- und mitteldeutsch <sup>2</sup>
-	2	/*k/→/kx/	Kind → bairisch: Kchind	7/8	südbairisch, hoch- und höchstalemannisch
G: /*bʰ/→/*b/ V: /*p/→/*b/	3	/*b/→/p/	Berg, bist → bairisch: perg, pist	8/9	teilweise bairisch und alemannisch
G: /*d/→/*đ/→/*d/ V: /*t/→/*đ/→/*d/	3	/*d/→/t/	niederdeutsch: <b>D</b> ag oder <b>D</b> ach, englisch: <b>d</b> ay → <b>T</b> ag; niederfränkisch: <i>vader</i> → Vater	8/9	oberdeutsch
G: /*gʰ/→/*g/ V: /*k/→/*g/	3	/*g/→/k/	Gott → bairisch: Kott	8/9	teilweise bairisch und alemannisch
G: /*t/→/þ/ [ð]	t/ $\rightarrow$ /p/[ $\delta$ ] 4 / $\beta$ / $\rightarrow$ /d/ englisch: thorn, thistle, through, brother $\rightarrow$ Dorn, Distel, durch, Bruder		9/10	gesamtes deutsches Dialektkontinuum	

#### Sound laws

- sound laws are specific for a particular period in language change
- they hold nearly universally for all occurrences of the sound in question in the language in question
- ideally we have written records of both stages (Latin/Romance languages, Old High German, Middle High German)
- in most cases, sound laws must be reconstructed via systematic comparison of related languages
- applying sound laws backwards leads to reconstructed vocabulary of common mother language

#### Language trees

 comparative method gives rise to pyhlogenetic trees of historic development



### Limits of the comparative method

- Similarities between languages may be due to horizontal transfer (loans)
- limited time depth ( $\leq 10,000 \text{ years}$ )

Hock & Joseph (1996):

Let us pursue this issue a little further by taking a closer look at the relationship between Modern Hindi and English – pretending that we do not yet know that they are related, and trying to establish their relationship by vocabulary comparison. This is actually more difficult than it appears. It is all too easy to be influenced by one's knowledge of the historical relationship between the two languages and therefore to notice the genuine cognates, or even to underestimate the effects of linguistic change on the recognizability of genuine cognates.

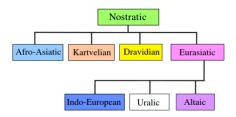
#### Limits of the comparative method

- Similarities between languages may be due to horizontal transfer (loans)
- limited time depth ( $\leq$  10,000 years)

Hock & Joseph (1996):

Clearly, one correspondence is not enough; nor are twenty. And just as clearly, a thousand correspondences with systematic recurrences of phonetic similarities and differences would be fairly persuasive. Are 500 enough, then? And if not, are 501 sufficient? Nobody can give a satisfactory answer to these questions. And this is no doubt the reason that linguists may disagree over whether a particular proposed genetic relationship is sufficiently supported or not.

- Plethora of proposals beyond well-established families:
  - Nostratic:
    - proposed by Pedersen (1903)
    - original proposal: Indo-European, Finno-Ugric, Samoyed, Turkish, Mongolian, Manchu, Yukaghir, Eskimo, Semitic, and Hamitic
    - revived by "Moscow school" in 1960
    - traditional comparative method, including reconstruction of proto forms



- Plethora of proposals beyond well-established families:
  - Eurasiatic
    - proposed by Greenberg (2000)
    - comprises Indo-European, UralicYukaghir, Altaic, Chukotko-Kamchatkan, EskimoAleut, Korean-Japanese-Ainu, Gilyak, Etruscan
    - multitude of arguments, mostly from morphology and phonology



- Plethora of proposals beyond well-established families:
  - Dene-Caucasian
    - based on work by Sapir, Starostin, Swadesh and others
    - comprises Ne-Dene, Caucasian, Sino-Tibetan, Yeniseian, Burushaski, perhaps Basque and other languages
    - also multitude of arguments, mostly from morphology and phonology



- Plethora of proposals beyond well-established families:
  - Amerind
    - proposed by Greenberg (1987)
    - comprises all American languages except Na-Dene and Eskimo-Aleut
    - arguments based on mass lexical comparison



- Merritt Ruhlen, a student of Greenberg, even claims to have reconstructed a few words of "Proto-World" (for instance the word aqua for water, which miraculously didn't change from the dawn of time till Cicero)
- such deep connection are mostly based on suggestive salient features of the languages involved, like pronoun forms
- Nostratic pronouns
- Amerind pronouns
- generally, these approaches neither quantify the probability of chance resemblances nor do they take negative evidence into account

### **Computational methods**

#### • this project:

- starting from raw word lists (phonetic strings)
- automatically assess string similarity
- automatically control for chance resemblances
- quantify (dis)similarity between word lists
- evaluate results by
  - comparison to expert language classification
  - correlation with phenotypical distances between populations

### The Automated Similarity Judgment Program

- Project at MPI EVA in Leipzig around Søren Wichmann
- covers more than 6,000 languages and dialects
- basic vocabulary of 40 words for each language, in uniform phonetic transcription
- freely available

**used concepts:** I, you, we, one, two, person, fish, dog, louse, tree, leaf, skin, blood, bone, horn, ear, eye, nose, tooth, tongue, knee, hand, breast, liver, drink, see, hear, die, come, sun, star, water, stone, fire, path, mountain, night, full, new, name

# **Automated Similarity Judgment Project**

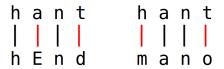
concept	Latin	English	concept	Latin	English
1	ego	Ei	nose	nasus	nos
you	tu	yu	tooth	dens	tu8
we	nos	wi	tongue	$liNgw{\sim}E$	t3N
one	unus	w3n	knee	genu	ni
two	duo	tu	hand	manus	hEnd
person	persona, homo	pers3n	breast	pektus, mama	brest
fish	piskis	fiS	liver	yekur	liv3r
dog	kanis	dag	drink	bibere	drink
louse	pedikulus	laus	see	widere	si
tree	arbor	tri	hear	audire	hir
leaf	foly∼u*	lif	die	mori	dEi
skin	kutis	skin	come	wenire	k3m
blood	saNgw $\sim$ is	bl3d	sun	sol	s3n
bone	os	bon	star	stela	star
horn	kornu	horn	water	akw∼a	wat3r
ear	auris	ir	stone	lapis	ston
eye	okulus	Ei	fire	iNnis	fEir

#### **Determining distances between word lists**

- two steps:
  - compute similarity/distance between individual word forms
  - aggregate word distances to doculect distances

#### Word distances

- based on string alignment
- baseline: Levenshtein alignment ⇒ count matches and mis-matches



• too crude as it totally ignores sound correspondences

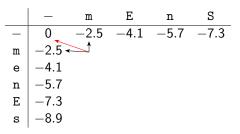
# **Capturing sound correspondences**

 weighted alignment using Pointwise Mutual Information (PMI, a.k.a. log-odds):

$$s(a,b) = \log \frac{p(a,b)}{q(a)q(b)}$$

- p(a,b): probability of sound a being etymologically related to sound b in a pair of cognates
- q(a): relative frequency of sound a
- Needleman-Wunsch algorithm: given a matrix of pairwise PMI scores between individual symbols and two strings, it returns the alignment that maximizes the aggregate PMI score
- but first we need to estimate p(a,b) and q(a),q(b) for all soundclasses a and b
- $\bullet$  q(a) : relative frequency of occurence of segment a in all words in ASJP
- p(a,b): that's a bit more complicated...

	_	m	E	n	S
_		-2.5	-4.1	-5.7	-7.3
m	-2.5 -4.1 -5.7 -7.3				
е	-4.1				
n	-5.7				
Ε	-7.3				
s	-8.9				



	_	m	E	n	S
_	0	-2.5	-4.1	-5.7	-7.3
m	-2.5 -4.1 -5.7 -7.3	4.13			
е	-4.1				
n	-5.7				
E	-7.3				
s	-8.9				

	_	m	Ε	n	S
_	0	-2.5	-4.1	-5.7	-7.3
m	-2.5	4.13	<b>\</b>		
е	-4.1				
n	-5.7				
E	-7.3				
s	-8.9				

	–	m	Ε	n	S
_	0	-2.5	-4.1	-5.7	-7.3
m	-2.5	−2.5 4.13 <b>₹</b>	1		
е	-4.1				
n	-5.7				
Ε	-7.3				
s	-8.9				

	_	m	E	n	S
_	0	-2.5	-4.1	-5.7	-7.3
m	0 $-2.5$ $-4.1$ $-5.7$	4.13	1.53		
е	-4.1				
n	-5.7				
Ε	-7.3				
s	-8.9				

	_	m	E	n	S
_	0	-2.5	-4.1	-5.7	-7.3
m	0 -2.5	4.13	1.53	0.03	
е	-4.1				
n	-5.7 -7.3				
E	-7.3				
s	-8.9				

	_		E		
_	0	-2.5	-4.1	-5.7	-7.3
m	-2.5	4.13	1.53	0.03	-1.47
е	-4.1				
n	-5.7				
Ε	-7.3				
s	-8.9				-7.3 -1.47

	–	m	E	n	S
_	0	-2.5	-4.1	-5.7	-7.3
m	-2.5	4.13	1.53	0.03	-1.47
е	-4.1	1.53			-1.47
n	-5.7 -7.3				
E	-7.3				
s	-8.9				

	_	m	E	n	S
_	0	-2.5	-4.1	-5.7	-7.3
m	-2.5	4.13	1.53	0.03	-1.47
е	-4.1	1.53	5.65		-1.47
n	-5.7				
E	-5.7 -7.3				
s	-8.9				

	_	m	E	n	S
_	0	-2.5	-4.1	-5.7	-7.3
m	-2.5	4.13	1.53	0.03	-1.47
е	-4.1	1.53	5.65	3.05	-1.47
n	-5.7				
E	-5.7 -7.3				
s	-8.9				

	_		E		S
_	0	-2.5	-4.1	-5.7	-7.3
m	-2.5	4.13	1.53	0.03	-1.47
е	-4.1	1.53	5.65	3.05	-7.3 $-1.47$ $1.55$
n	-5.7 -7.3 -8.9				
Ε	-7.3				
s	-8.9				

	_	m	E	n	S
_	0	-2.5	-4.1	-5.7	-7.3
m	-2.5	4.13	1.53	0.03	-1.47
е	-4.1	1.53	5.65	3.05	-1.47 1.55
n	-5.7	0.03			
Ε	-7.3				
s	-8.9				

	–	m	E	n	S
_	0	-2.5	-4.1	-5.7	-7.3
m	-2.5	4.13	1.53	0.03	-1.47
е	-4.1	1.53	5.65	3.05	1.55
n	-5.7	0.03	3.05		-1.47 $1.55$
E	-7.3 -8.9				
s	-8.9				

	_	m	E	n	S
_	0	-2.5	-4.1	-5.7	-7.3
m	-2.5	4.13	1.53	0.03	-1.47
е	-4.1	1.53	5.65	3.05	1.55
n	-5.7	0.03	3.05	9.2	-1.47 1.55
E	-7.3 $-8.9$				
s	-8.9				

	_	m	E	n	S
_	0	-2.5	-4.1	-5.7	-7.3
m	-2.5	4.13	1.53	0.03	-1.47
е	-4.1	1.53	5.65	3.05	1.55
n	-5.7	0.03	3.05	9.2	6.6
E	-7.3				
s	-8.9				-7.3 -1.47 1.55 6.6

	_	m	E	n	S
_	0	-2.5	-4.1	-5.7	-7.3
		4.13			
е	-4.1	1.53	5.65	3.05	1.55
		0.03	3.05	9.2	6.6
Ε	-7.3	-1.47			
s	-8.9				

	_	m	E	n	S
		-2.5			
		4.13			
		1.53			
n	-5.7	0.03	3.05	9.2	6.6
Ε	-7.3	-1.47	4.75		
s	-8.9				

	_	m	E	n	S
_	0	-2.5	-4.1	-5.7	-7.3
m	-2.5	4.13	1.53	0.03	-1.47
е	-4.1	1.53	5.65	3.05	1.55
n	-5.7	4.13 1.53 0.03 -1.47	3.05	9.2	6.6
E	-7.3	-1.47	4.75	6.6	
s	-8.9				

	_	m	E	n	S
		-2.5			
m	-2.5	4.13	1.53	0.03	-1.47
е	-4.1	1.53	5.65	3.05	1.55
n	-5.7	0.03	3.05	9.2	6.6
E	-7.3	-1.47	4.75	6.6	7.62
s	-8.9				

	_	m	E	n	S
_	0	-2.5	-4.1	-5.7	-7.3
m	-2.5	4.13	1.53	0.03	-1.47
		1.53			
n	-5.7	0.03	3.05	9.2	6.6
		-1.47	4.75	6.6	7.62
s	-8.9	-2.97			

	_	m	E	n	S
_	0	-2.5	-4.1	-5.7	-7.3
m	-2.5	4.13	1.53	0.03	-1.47
е	-4.1	1.53	5.65	3.05	1.55
n	-5.7	0.03	3.05	9.2	6.6
E	-7.3	-1.47	4.75	6.6	7.62
s	-8.9	-2.5 4.13 1.53 0.03 -1.47 -2.97	2.15		

	_	m	E	n	S
_	0	-2.5	-4.1	-5.7	-7.3
m	-2.5	4.13	1.53	0.03	-1.47
е	-4.1	1.53	5.65	3.05	1.55
n	-5.7	0.03	3.05	9.2	6.6
Ε	-7.3	-1.47	4.75	6.6	7.62
s	-8.9	-2.97	2.15	5.1	

	_	m	E	n	S
_	0	-2.5	-4.1	-5.7	-7.3
		4.13			
е	-4.1	1.53	5.65	3.05	1.55
n	-5.7	$0.03 \\ -1.47$	3.05	9.2	6.6
Ε	-7.3	-1.47	4.75	6.6	7.62
s	-8.9	-2.97	2.15	5.1	8.84

Dynamic Programming

	_	m	E	n	S
_	0	-2.5	-4.1	-5.7	-7.3
m		4.13			
е	-4.1	1.53	5.65	3.05	1.55
n	-5.7	0.03	3.05	9.2	6.6
Ε	-7.3	-1.47	4.75	6.6	7.62
s	-8.9	-2.97	2.15	5.1	8.84

▶ Dynamic Programming

	_	m	E	n	S
_	0	-2.5	-4.1	-5.7	-7.3
m	-2.5	4.13	1.53	0.03	-1.47
е	-4.1	1.53	5.65	3.05	1.55
n	-5.7	0.03	3.05	9.2 <sub>↑</sub>	6.6
		-1.47			7.62
s	-8.9	-2.97	2.15	5.1	8.84

Dynamic Programming

	_	m	E	n	S
_	0	-2.5	-4.1	-5.7	-7.3
m	-2.5	4.13	1.53	0.03	-1.47
е	-4.1	1.53	5.65	3.05	1.55
n	-5.7	0.03	3.05 *	<b>9.2</b> <sub>↑</sub>	6.6
Ε	-7.3	-1.47	4.75	6.6	7.62
s	-8.9	-2.97	2.15	5.1	8.84

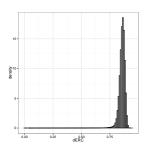
▶ Dynamic Programming

	_	m	E	n	S
_	0	-2.5	-4.1	-5.7	-7.3
m	-2.5				-1.47
е		1.53			
		0.03		<b>9.2</b> <sub>↑</sub>	6.6
Ε		-1.47			7.62
s	-8.9	-2.97	2.15	5.1	8.84

Dynamic Programming

	_	m	E	n	S
_	0_	-2.5	-4.1	-5.7	-7.3
m	-2.5			0.03	
е		1.53			
		0.03		<b>9.2</b> <sub>↑</sub>	6.6
Ε		-1.47			7.62
s	-8.9	-2.97	2.15	5.1	8.84

- **First step:** automatically compile a list of language pairs that are (fairly) certain to be related
- start with a measure for language dissimilarity based on Levenshtein alignment



• all language pairs with dissimilarity  $\leq 0.7$  (ca. 1% of all pairs) qualify as probably related

doculects probably related (in this sense) to English:

AFRIKAANS, ALSATIAN, BERNESE\_GERMAN, BRABANTIC,
CIMBRIAN, DANISH, DUTCH, EASTERN\_FRISIAN, FAROESE,
FRANS\_VLAAMS, FRISIAN\_WESTERN, GJESTAL\_NORWEGIAN,
ICELANDIC, JAMTLANDIC, LIMBURGISH, LUXEMBOURGISH,
NORTH\_FRISIAN\_AMRUM, NORTHERN\_LOW\_SAXON, NORWEGIAN\_BOKMAAL,
NORWEGIAN\_NYNORSK\_TOTEN, NORWEGIAN\_RIKSMAL, PLAUTDIETSCH,
SANDNES\_NORWEGIAN, SAXON\_UPPER, SCOTS, STANDARD\_GERMAN,
STELLINGWERFS, SWABIAN, SWEDISH, WESTVLAAMS, YIDDISH\_EASTERN,
YIDDISH\_WESTERN, ZEEUWS

- these are all and only the Germanic languages
- 99.9% of all probably related pairs belong to the same family, and 60% to the same genus

#### Second step:

- let  $L_1$  and  $L_2$  be probably related
- ullet every pair of words  $w_1/w_2$  from  $L_1/L_2$  sharing the same meaning are considered *potentially cognate*
- all potential cognate pairs are (Levenshtein-)aligned
- ullet relative frequency of a being aligned with b is used as estimate of s(a,b)
- all potential cognate pairs are Needleman-Wunsch aligned using PMI scores obtained in the previous step
- ullet all potential cognate pairs with an aggregate PMI score  $\geq 5.0$  are considered *probable cognates*
- s(a,b) is re-estimated using only probable cognate pairs
- this is repeated ten times

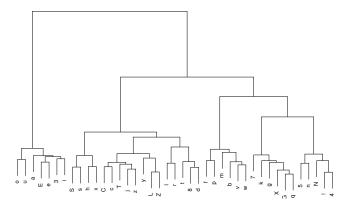
- only probabe cognate between English and Latin: pers3n/persona
- probable cognates English/German:

```
fiS
       fiS
laus
       laus
bl3d
       blut
horn
       horn
brest
       brust
liv3r
       leb3r
       StErn
star
wat3r vas3r
ful
       fol
```

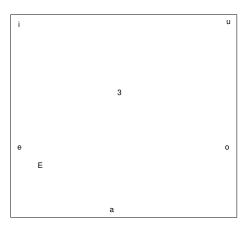
- procedures results in pairwise PMI scores for each pair from the 41 ASJP sound classes
- positive PMI-score between a and b: evidence for etymological relatedness
- negative PMI-score between a and b: evidence against etymological relatedness

	a	$\mathbf{e}$	i	О	$\mathbf{u}$	$\mathbf{p}$	b	$\mathbf{d}$	t	8	$\mathbf{s}$	h
a	1.88	-1.35	-2.35	-1.66	-2.54	-8.49	-8.82	-7.07	-7.03	-4.64	-8.78	-8.40
$\mathbf{e}$	-1.35	2.40	-0.48	-1.52	-2.88	-7.47	-7.80	-7.66	-6.01	-5.01	-7.76	-7.38
i	-2.35	-0.48	2.37	-2.81	-1.32	-6.75	-8.46	-8.33	-8.98	-3.48	-7.04	-6.66
o	-1.66	-1.52	-2.81	2.48	-0.27	-7.08	-8.10	-7.96	-8.61	-5.31	-8.06	-7.68
$\mathbf{u}$	-2.54	-2.88	-1.32	-0.27	2.76	-6.62	-8.05	-7.91	-8.56	-5.26	-8.01	-7.63
$\mathbf{p}$	-8.49	-7.47	-6.75	-7.08	-6.62	3.69	0.36	-6.59	-4.30	-3.94	-2.70	-0.49
$\mathbf{b}$	-8.82	-7.80	-8.46	-8.10	-8.05	0.36	3.62	-4.84	-5.09	-3.58	-5.63	-3.24
$\mathbf{d}$	-7.07	-7.66	-8.33	-7.96	-7.91	-6.59	-4.84	3.41	-0.10	2.52	-2.29	-2.81
$\mathbf{t}$	-7.03	-6.01	-8.98	-8.61	-8.56	-4.30	-5.09	-0.10	3.15	2.11	-1.67	-1.76
8	-4.64	-5.01	-3.48	-5.31	-5.26	-3.94	-3.58	2.52	2.11	5.49	1.92	-0.85
$\mathbf{s}$	-8.78	-7.76	-7.04	-8.06	-8.01	-2.70	-5.63	-2.29	-1.67	1.92	3.50	0.26
$\mathbf{h}$	-8.40	-7.38	-6.66	-7.68	-7.63	-0.49	-3.24	-2.81	-1.76	-0.85	0.26	3.50

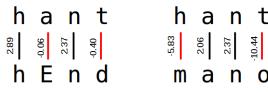
hierarchical clustering of sound classes according to PMI scores:



• multidimensional scaling of vowel classes according to PMI scores:



# Weighted alignment



$$\Sigma = 4.80$$

$$\Sigma = -11.85$$

# Weighted alignment

#### • alignments German/Latin:

iX-	baum	cuN-3	kom3n
ego	arb-or	liNgE	wenire
du	b-lat	k-ni	zon3
tu	folu-	genu	sol-
vir	haut	han-t	StErn-
nos	k-utis	manus	ste-la
ain-s	blut	brust	vas3r
-unus	saNgis	pektus-	-aka-
cvai	knoX3n	leb3r	Sta-in
d-uo	os	yekur	-lapis
mEnS	-or	triNk3n-	foia-
homo			
пошо	auris	b-i-bere	iNnis
fiS	a-ug3-	ze-3n	pat
piskis	okulus	widere-	•
piskis	okulus	widere-	viya-
hun-t	naz3-	her3n	bErk
kanis	nasus	audire-	mons
	nasas	dudiic	mono
la-us	can-	Sterb3n	naxt
pedikulus	dens	-mor-i-	noks
1			

Gerhard Jäger

f---ol plenus no-inowus nam3nomen

# Weighted alignment

#### • alignments German/Cimbrian:

iX	blut	leb3r-	St-ain
ix	plut	lEbara	stoa-n
du	knoX3n	triNk3n	foia-
dE	-po-an	trink	bo-ar
vir	horn	ze3n	vek
bar	horn	ze-g	bEgale
cvai-	o-r	her3n	bErk
sb-en	oar	hor	perg
mEn-S	aug3	Sterb3n	naxt
menEs	-ogE	sterb	naxt
hunt	naz3	kom3n	fol
hunt	kanipa	kEm	gabasEt
laus	cuN3	zon3	noi
laus	gaprext	zuna	noy
baum	hant	StE-rn	nam3
p-om	hant	stEarn	namo
blat	brust	vas3r	
-lop	p-uzamEn	basar	

## **Aggregating word similarites**

- Needleman-Wunsch alignment returns a similarity score for each word pair
- not too reliable to identify cognates:
  - often low scores for genuine cognate pairs ('false negatives'):
    - lat. genu/eng. knee: -3.39
    - lat. unus/eng. one: -5.00
  - occasionally high scores for non-cognates ('chance similarities'/'false positives'):
    - grm. Blatt ('leaf')/Tilquiapan bldag ('leaf'): 0.22
    - lat. oculus ('eye)/Lachixio ikulu ('eye'): 6.72
- approach pursued here:
  - for each language pair, estimate amount of chance similarities
  - quantify to what degree the observed similarities exceed expected chance similarities

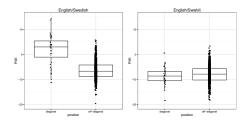
### **Aggregating word distances**

#### English / Swedish

	${f Ei}$	yu	$\mathbf{wi}$	w3n	$\mathbf{tu}$	$\mathbf{fiS}$	
yog	-7.77	0.75	-7.68	-7.90	-8.57	-10.50	
$\mathbf{d}\mathbf{u}$	-7.62	0.33	-5.71	-7.41	2.66	-8.57	
$\mathbf{vi}$	-2.72	-2.83	4.04	-1.34	-6.45	0.70	
$\mathbf{et}$	-5.47	-7.87	-5.47	-6.43	-1.83	-4.70	
$\mathbf{tvo}$	-7.91	-4.27	-3.64	-4.57	0.39	-6.98	
fisk	-7.45	-11.2	-3.07	-9.97	-8.66	7.58	
:							

- values along diagonal give similarity between candidates for cognacy (possibility of meaning change is disregarded)
- values off diagonal provide sample of similarity distribution between non-cognates

## **Aggregating word distances**



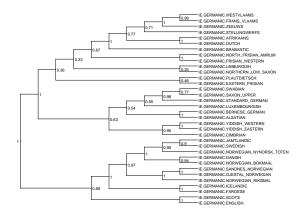
 distance between two word lists is a measure for how much the distribution along the diagonal differs from the distribution off the diagonal

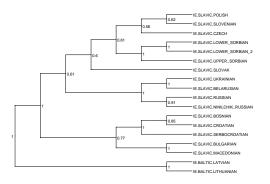
# **Aggregating word distances**

#### some examples

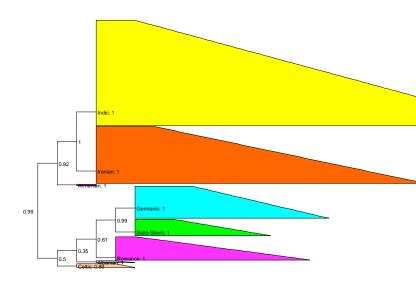
A	B	d(A, B)
English	Scots	0.2139
Danish	Swedish	0.2773
English	Swedish	0.3981
English	Frisian	0.4215
English	Dutch	0.4040
Hindi	Farsi	0.6231
English	French	0.7720
English	Hindi	0.7735
Amharic	Vietnamese	0.8566
Swahili	Warlpiri	0.8573
Navajo	Dyirbal	0.8436
Japanese	Haida	0.8504
English	Swahili	0.8901

- pairwise distances for all (extant) languages present in ASJP are computed
- resulting distance matrix is fed into distance-based phylogenetic algorithm (Neighbor Joining + Ordinary Least Square Nearest Neighbor Interchange Optimization)
- outcome recognizes language families and their internal structure remarkably well

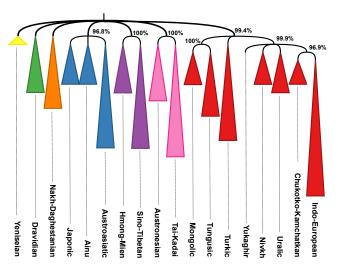




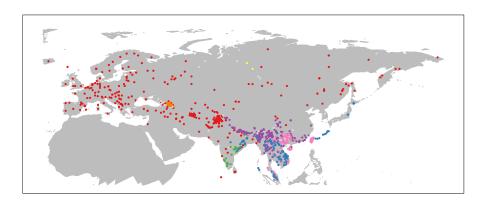


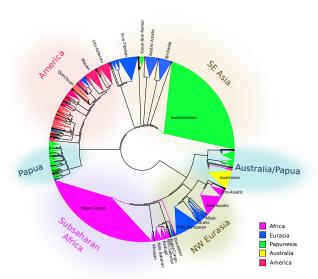


#### Languages of Eurasia



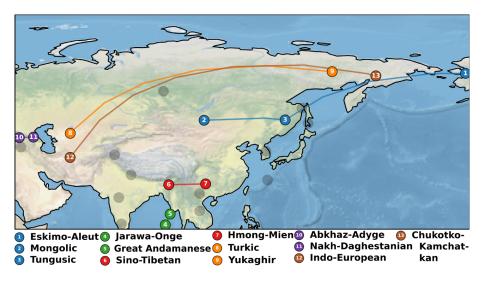
#### Languages of Eurasia

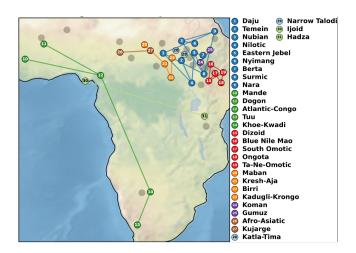




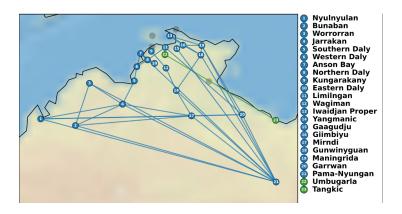
(joint work with Cecil Brown, Eric Holman, Johann-Mattis List and Søren Wichmann)

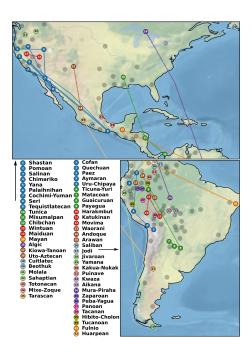
- compute aggregate distances between language families
- find threshold with false discovery rate of 5%: all families pairs with a distance below this threshold are genuinely related (due to common descent or contact) with a confidence or 95%











#### Words and bones

(joint work with Katerina Harvati and Hugo Reyes-Centeno)

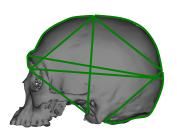
- Since Cavalli-Sforza's work: lot of interest in correlations between genetic and linguistic features of human populations
- our work: correlations between phenotypical (cranial) and linguistic (vocabulary-based) features
- motivation:
  - different parts of the cranium respond to different selective pressures
  - ASJP provides data for computing linguistic distances on an unprecedented scale; this study provides (additional) evidence for the reliability of ASJP-based distances across language family boundaries
  - part of the general endeavor to disentangle human bio-historical co-evolution

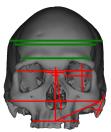
# **Cranial Phenotype Data**

Whole Cranium: 30 variables

Face: 15 variables

Neurocranium: 15 variables





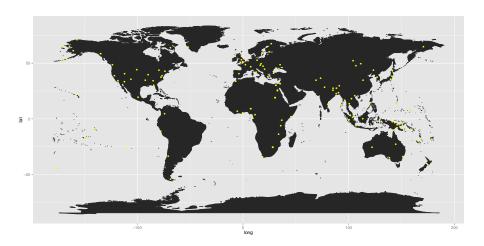


# Does language track population history?

- Hypothesis 1: Language reflects genetic population history if there is a significant relationship with neurocranial morphology and geography
- Hypothesis 2: Language reflects other factors if there is a significant relationship with facial morphology

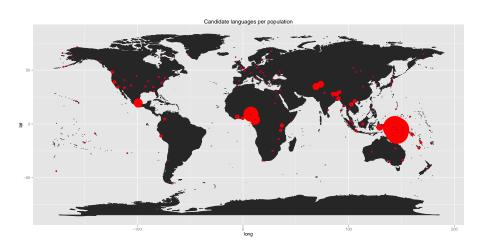
# Mapping bones to languages

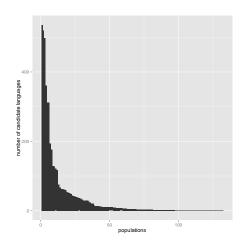
• cranial data from 135 populations



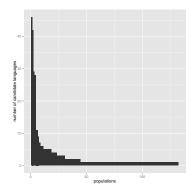
- in some cases, assignment is straightforward:
  - WestAleut → Aleut
  - ullet South West Alaska o Central Yupik
  - ullet Serbia o Serbo-Croatian
  - ullet Gyzeh o Late Egyptian
- sometimes, several candidate languages from the same language family or genus
  - North East Asia → Inupiaq, 3 dialects of Yupik (all Eskimo languages)
  - ullet Germany o Standard German + 6 German dialects
  - Recent Italy → Corsican, Friulian, Italian, Sardinian

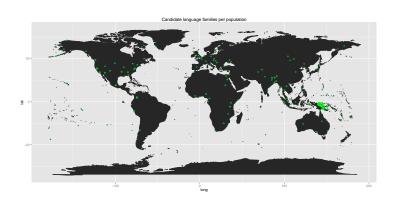
- in many cases, assignment is pure guesswork (based on geography)
- PNG, Australia, sub-Saharan Africa, America, India
- criteria:
  - geographic location (according to ASJP)  $\leq$  300 km from coordinates of cranial data
  - for islands (New Caledonia, Hebrides, Torres Strait, ...): Ethnologue information
  - if cranial data contain ethnic information, these override geography
    - Han North is mapped to Mandarin, even though several Turkic languages are closer
    - only Khoisan languages are considered for South Africa
- number of candidate languages assigned to single populations range from 1 to 535 (for Madang/PNG)
- average: 37 languages per population





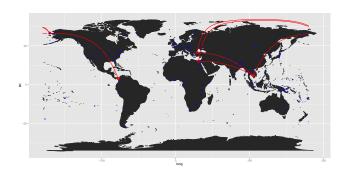
- in most cases, candidate languages belong to the same language families
- maximum number of candidate families: 46 (for East Sepik, PNG)
- mean number of candidate families per population: 3 (median: 1)





 in the sequel, the linguistic distance between two populations is computed as the average distance between the corresponding candidate languages

#### Land-based distances



#### • following Atkinson 2011:

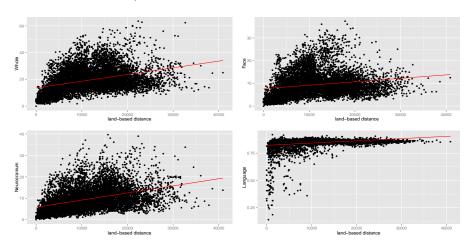
Africa/Asia: CairoAsia/Europ: Istanbul

• Asia/Oceania: Phnom Phen

• Asia/North America: Bering Strait

• North America/South America: Panama

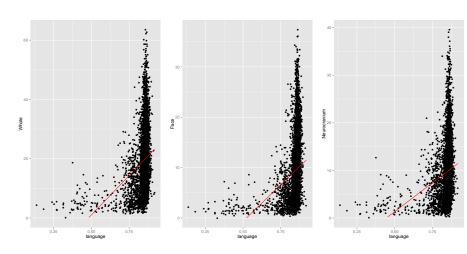
 correlations between land-based geographic distances phenotypical/linguistic distances



- correlations between land-based geographic distances phenotypical/linguistic distances
- determined via Mantel test

	(Spearman) correlation	
Whole	$0.399 (10^{-4})$	
Face	$0.250 \ (10^{-4})$	
Neurocranium	$0.457 (10^{-4})$	
Language	$0.246 \ (10^{-4})$	

• Correlation of linguistic distances to various cranial distances



• Correlation of linguistic distances to various cranial distances

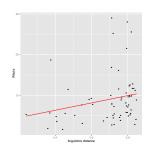
	unconditional	conditioned on geography
Whole	$0.296(10^{-4})$	$0.222(10^{-4})$
Face	$0.321(10^{-4})$	$0.276(10^{-4})$
Neurocranium	$0.246(10^{-4})$	$0.155(10^{-4})$

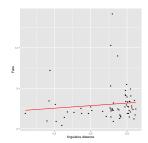
# **Correlations within language families**

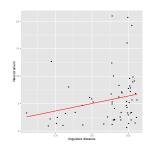
• intra-family correlation of language with

Whole: 0.290Face: 0.200

• Neurocranium: 0.272





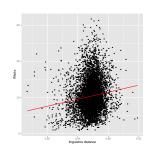


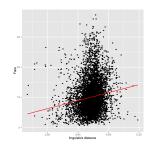
### **Correlations across language families**

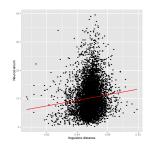
• inter-family correlation of language with

Whole: 0.139Face: 0.177

• Neurocranium: 0.120





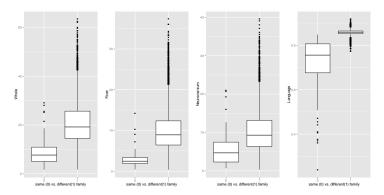


# **Separating language families**

 correlation of degree on non-overlap of the candidate language families of a population with

Whole: 0.365Face: 0.351

Neurocranium: 0.299



# **Aggregating language families**

- ullet a population "belongs" to a given language family f if all candidate languages for that population belong to f
- the phenetic (Whole, Face, Neurocranium)/geographical distance between the families  $f_1$  and  $f_2$  is defined as the average distance between the populations belonging to  $f_1/f_2$  respectively
- the linguistic distance between  $f_1$  and  $f_2$  is the average distance between all languages assigned to populations that belong to  $f_1/f_2$  respectively

# **Aggregating language families**

• aggregated correlations of language with

• Whole: 0.198 (p = 0.013) • Face: 0.256 (p < 0.001)

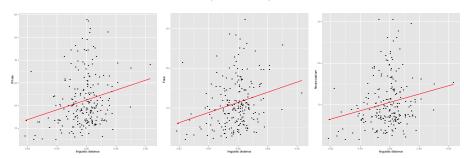
• Neurocranium: 0.178 (p = 0.028)

• partial correlations, conditioned on land-based distance

• Whole: 0.141 (p = 0.089)

• Face: 0.219 (p = 0.003)

• Neurocranium:  $0.116 \ (p = 0.155)$ 



# **Considerations and hypotheses**

- · Evolutionary rate of change
  - Genes and neurocranium evolve slowly
  - Language and face evolve faster?
- · Depth of population history
  - Genes and neurocranium track deep history
  - Language and face track recent history?
- Modes of transmission
  - Genes and neurocranium are vertically transmitted
  - Language and face are horizontally transmitted?
- Selection on face and language?