

# A case study in computer-aided typology

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WORDS BONES GENES TOOLS  
Tracking Linguistic, Cultural, and Biological Trajectories of the Human Past

UNIVERSITÄT  
TÜBINGEN



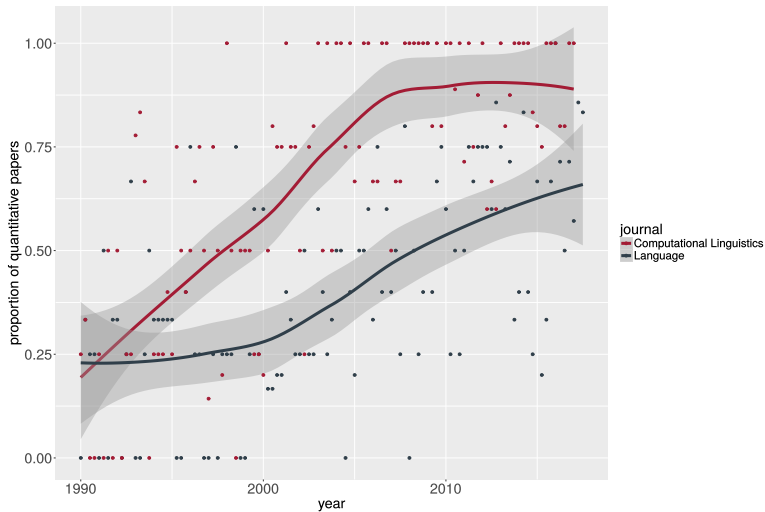
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# Linguistics Quo Vadis

# The ascent of quantitative methods



# The ascent of quantitative methods

Linguistic Issues in *Language Technology* – *LiLT*  
Volume 2, Issue 4 May 2007

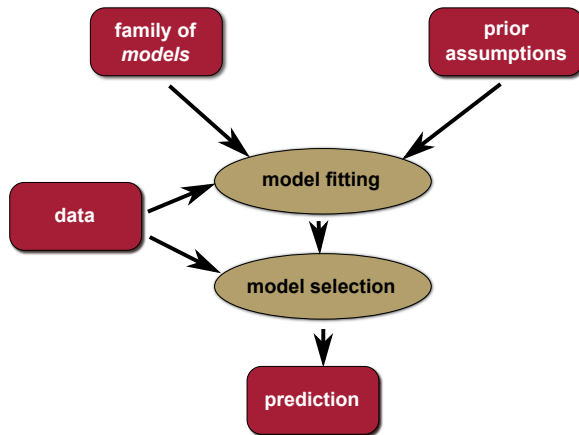
## A Pendulum Swung Too Far

Kenneth Church

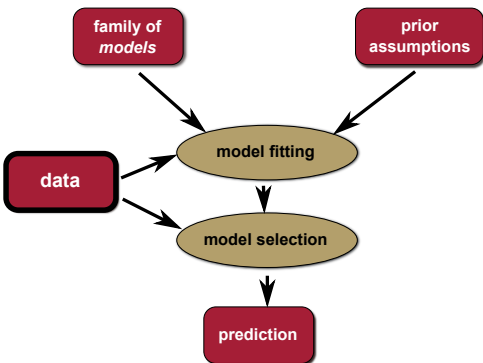




# Statistical modeling of linguistic dynamics

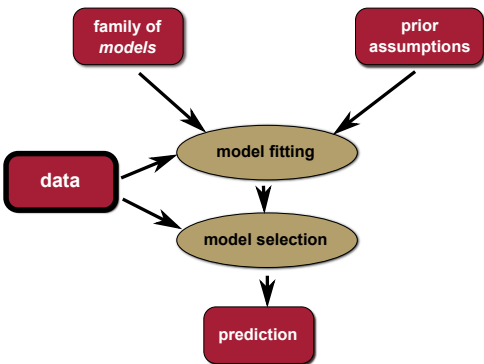


# Statistical modeling of linguistic dynamics



small data

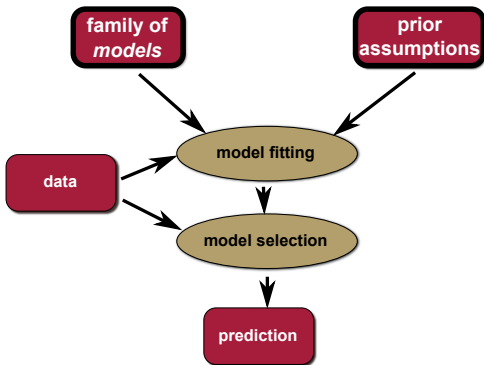
# Statistical modeling of linguistic dynamics



## Data

- comparative
- multi-modal
- high-quality

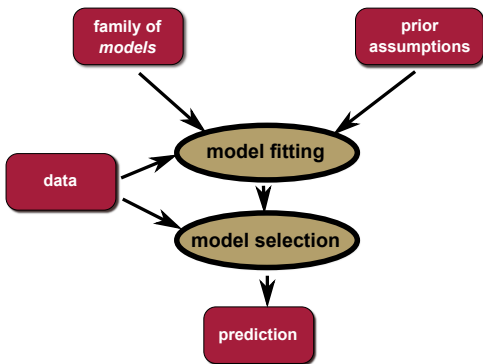
# Statistical modeling of linguistic dynamics



## Models and priors

- based in **linguistic theory**
- dynamic

# Statistical modeling of linguistic dynamics



## Inference methods

- (approximate) Bayesian computation
- causal inference
- multi-agent simulations
- ...

# Case alignment systems

# Universal syntactic-semantic primitives

- three universal core roles

**S:** intransitive subject

**A:** transitive subject

**O:** transitive object

## German

**Der Junge** ist dreckig.  
the boy.NOM is dirty  
'The boy is dirty.'

**Der Junge** wirft **einen Stein**.  
DEF boy.NOM throw a.ACC stone  
'The boy is throwing a stone.'

S  
A  
O

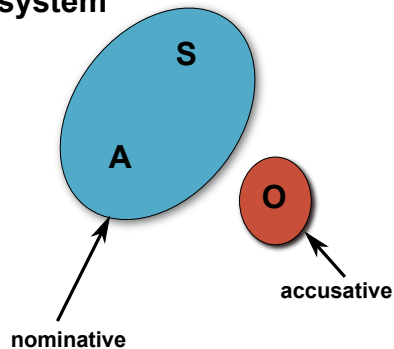
## Kalkatungu (Australia)

**Kaun** muu-yan-ati  
dress.ABS dirt-PROP-INCH  
'The dress is dirty.'

Kuntu **wampa-ngku kaun** muu-yan-puni-mi.  
not girl-ERG dress.ABS dirty-PROP-CAUS-FUT  
'The girl will not dirty the dress.'

# Alignment systems

## Accusative system



## Latin

Puer puellam vidit.

boy.NOM girl.ACC saw *'The boy saw the girl.'*

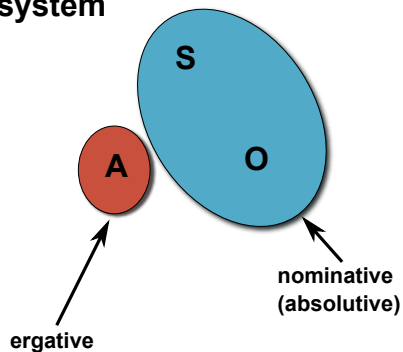
Puer venit.

boy.NOM came *'The boy came.'*



# Alignment systems

## Ergative system



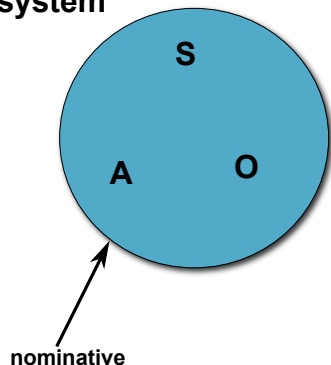
## Dyirbal

ŋuma yabu-ŋgu bura-n.  
 father mother.ERG see-NONFUT  
*'The mother saw the father.'*

ŋuma banaga-nu.  
 boy.NOM came *'The boy came.'*

# Alignment systems

## Neutral system



## Mandarin

rén lái le.

person come CRS

*'The person has come.'*

zhāngsān mà lǐsì le ma.

Zhangsan scold Lisi CRS Q

*'Did Zhangsan scold Lisi?'*

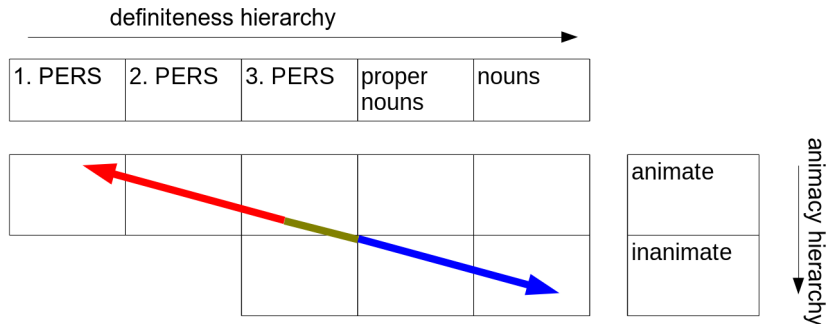
# Differential case marking

- many languages have mixed systems
- e.g., some NPs have accusative and some have neutral paradigm, such as Hebrew

(1) Ha-seret her?a ?et-ha-milxama  
 the-movie showed acc-the-war  
 'The movie showed the war.'

(2) Ha-seret her?a (\*?et-)milxama  
 the-movie showed (\*acc-)war  
 'The movie showed a war'  
 (from Aissen, 2003)

# Differential case marking



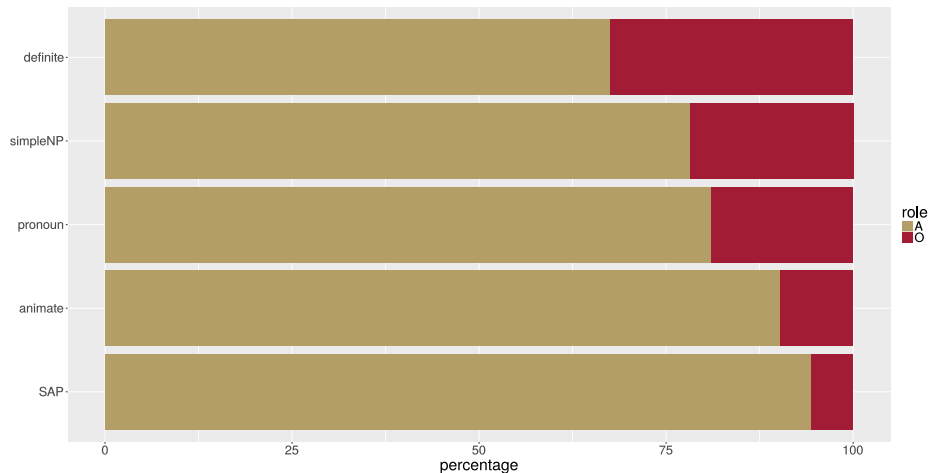
accusative

neutral or  
tripartite

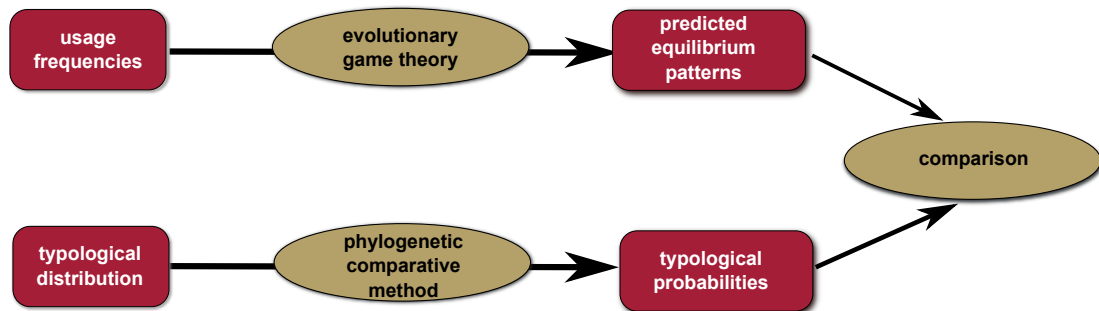
ergative

# Functional explanation?

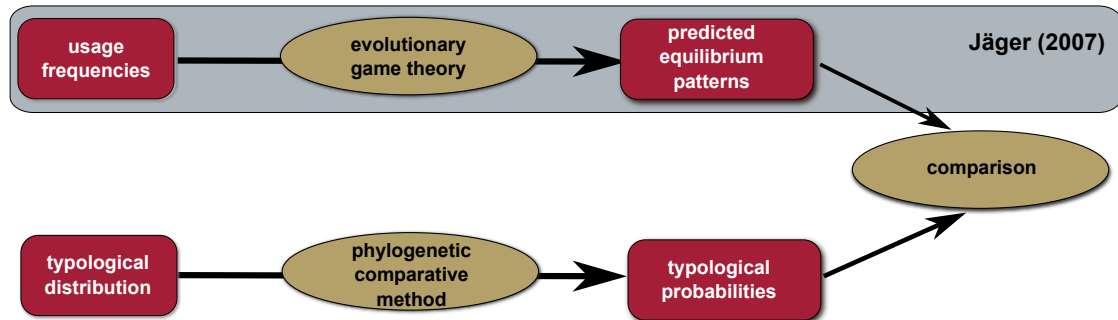
probability  $P(\text{syntactic role} | \text{prominence of NP})$



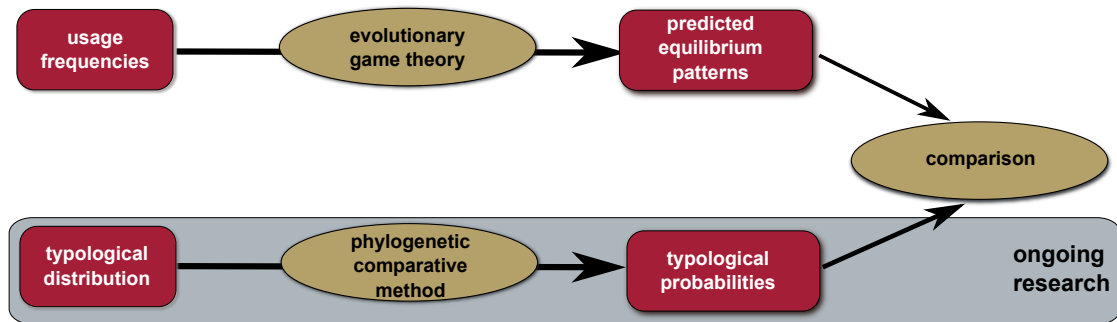
# The analysis



# The analysis



# The analysis





# Game-theoretic modeling

# Game Theory

## Rationalistic game theory

- strategic interaction between rational agents
- utility  $\approx$  motivation



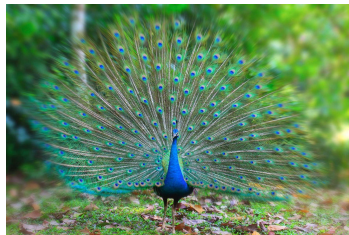
# Game Theory

## Rationalistic game theory

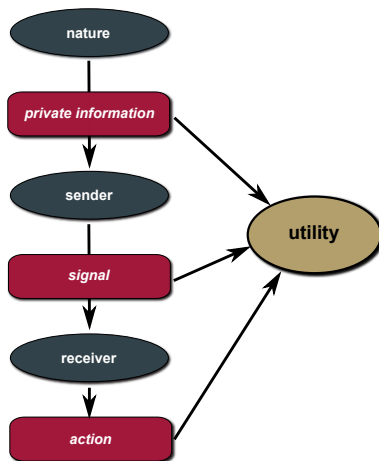
- strategic interaction between rational agents
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## Evolutionary game theory

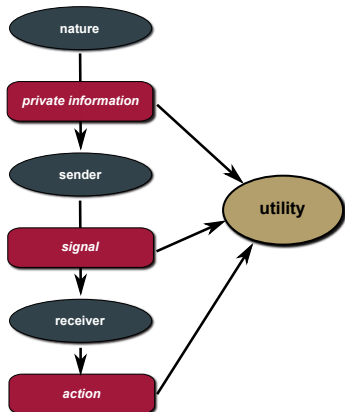
- frequency-dependent Darwinian selection
- utility  $\approx$  fitness



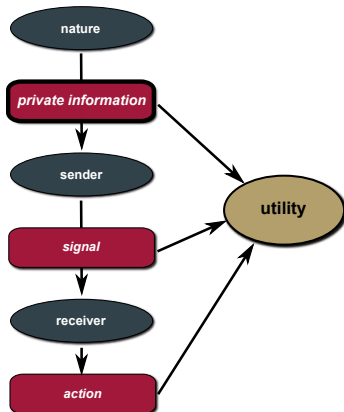
# Signaling games



# The game of case

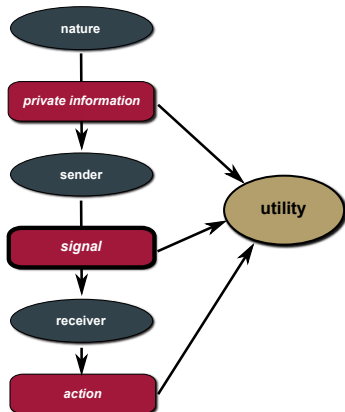


# The game of case



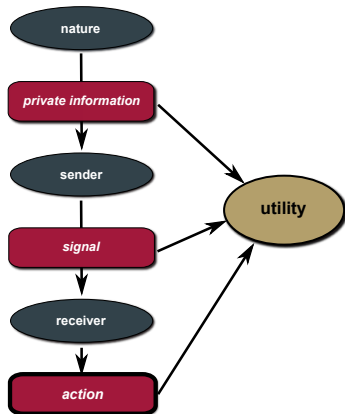
- **private information:** meaning, including linking of NPs to argument slots

# The game of case



- **private information:** meaning, including linking of NPs to argument slots
- **signal:** case marking of NPs

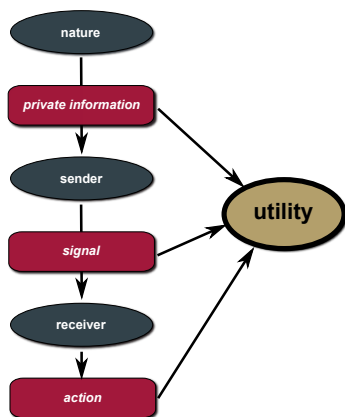
# The game of case



- **private information:** meaning, including linking of NPs to argument slots
- **signal:** case marking of NPs
- **action:** assign NPs to argument slots



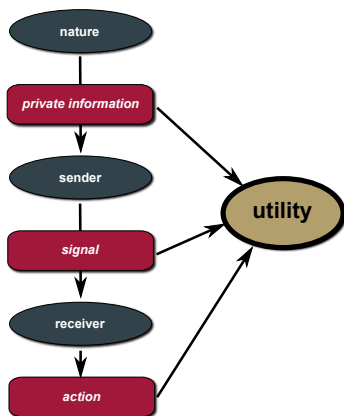
# The game of case



- **private information:** meaning, including linking of NPs to argument slots
- **signal:** case marking of NPs
- **action:** assign NPs to argument slots
- **utility:**

$$u(t, m, a) = -k \times c(m) + \begin{cases} 1 & \text{if } a = t \\ 0 & \text{else} \end{cases}$$

# The game of case

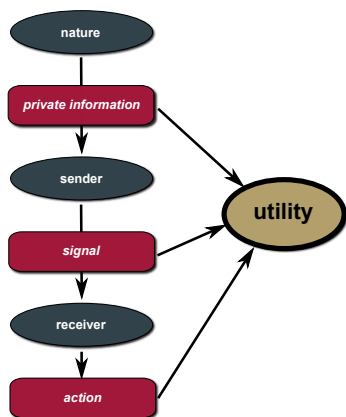


- **private information:** meaning, including linking of NPs to argument slots
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- **utility:**

$$u(t, m, a) = -k \times c(m) + \begin{cases} 1 & \text{if } a = t \\ 0 & \text{else} \end{cases}$$

- hearer economy

# The game of case

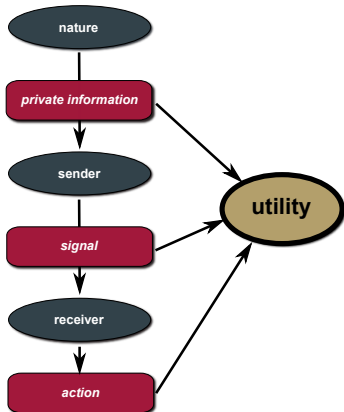


- **private information:** meaning, including linking of NPs to argument slots
- **signal:** case marking of NPs
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- **utility:**

$$u(t, m, a) = -k \times \mathbf{c}(\mathbf{m}) + \begin{cases} 1 & \text{if } a = t \\ 0 & \text{else} \end{cases}$$

- hearer economy
- speaker economy

# The game of case



- **private information:** meaning, including linking of NPs to argument slots
- **signal:** case marking of NPs
- **action:** assign NPs to argument slots
- **utility:**

$$u(t, m, a) = -\mathbf{k} \times c(m) + \begin{cases} 1 & \text{if } a = t \\ 0 & \text{else} \end{cases}$$

- hearer economy
- speaker economy
- relative strength of speaker economy vs. hearer economy

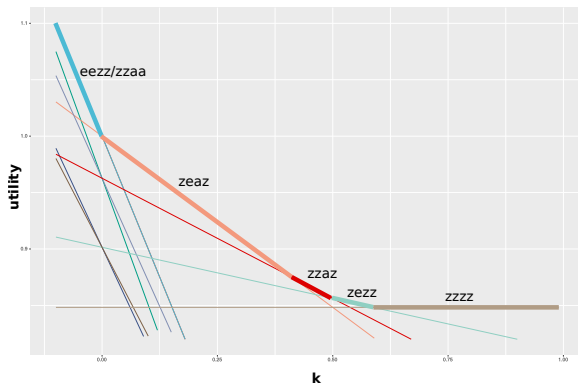
- speaker strategies that will be considered:

A is prominent	A is non-prominent	O is prominent	O is non-prominent
e(rgative)	e(rgative)	a(ccusative)	a(ccusative)
e	e	a	z(ero)
e	e	z	a
e	e	z	z
e	z	a	a
...	...	...	...
z	e	z	z
z	z	a	a
z	z	a	z
z	z	z	a
z	z	z	z

- hearer strategies:
  - strict rule: ergative means “agent”, and accusative means “object”
  - elsewhere rules:
    - ① *AO*: “The first phrase is always the agent.”
    - ② *pA*: “Pronouns are agents, and nouns are objects.”
    - ③ *pO*: “Pronouns are objects, and nouns are agents.”
    - ④ *OA*: “The first phrase is always the object.”

# The game of case

- stochastic evolution always settles for strategy configuration with highest overall utility
- depends on  $k$



# Taking stock

## Case marking systems participating in stochastically stable equilibria

- **eezz**: consistent ergative marking
- **zxaa**: consistent accusative marking
- **zeaz**: split ergative system
- **zezz**: differential subject marking
- **zzaz**: differential object marking
- **zzzz**: no case marking

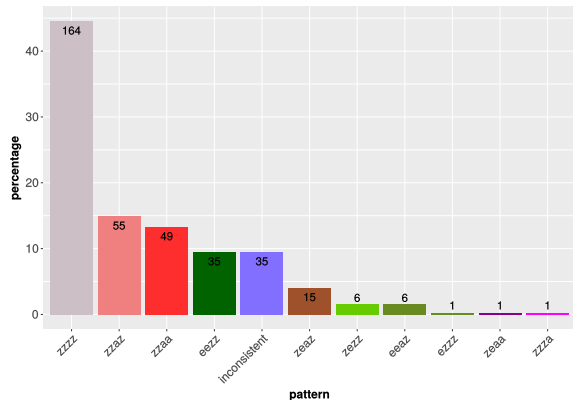
All stable systems are consistent with prominence hierarchies!



# Empirical distribution

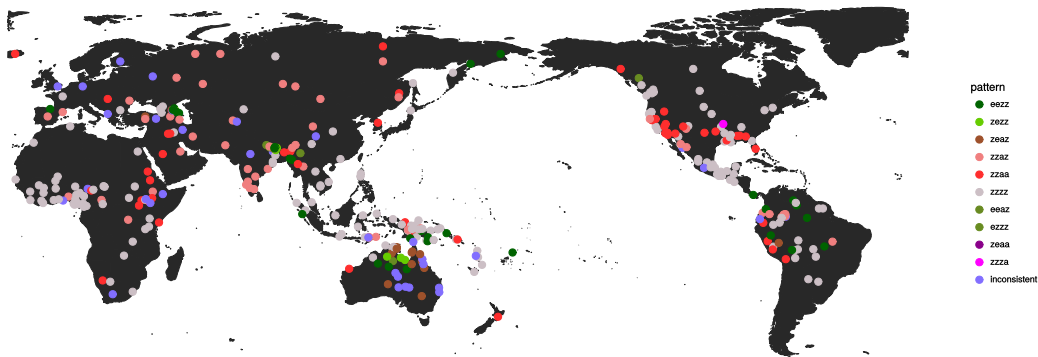
# Bickel et al.'s (2015) sample

- genetically diverse sample of 460 case marking systems
- used here: 368 systems
  - one system per language
  - only languages with ISO code
  - only languages present in ASJP
- 342 out of 368 systems (88%) are stochastically stable

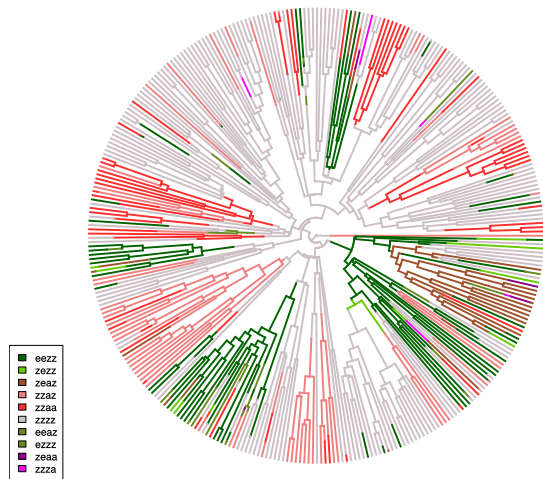


# Phylogenetic non-independence

- languages are phylogenetically structured
  - if two closely related languages display the same pattern, these are not two independent data points
- ⇒ we need to control for phylogenetic dependencies



# Phylogenetic non-independence



# Phylogenetic non-independence

## Maslova (2000):

*“If the A-distribution for a given typology cannot be assumed to be stationary, a distributional universal cannot be discovered on the basis of purely synchronic statistical data.”*

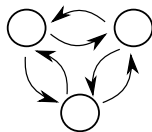
*“In this case, the only way to discover a distributional universal is to **estimate transition probabilities** and as it were to ‘predict’ the stationary distribution on the basis of the equations in (1).”*



# The phylogenetic comparative method

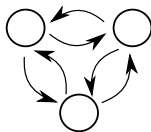
# Modeling language change

**Markov process**



# Modeling language change

**Markov process**



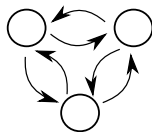
**Phylogeny**





# Modeling language change

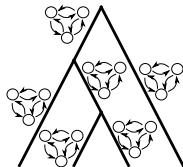
**Markov process**



**Phylogeny**

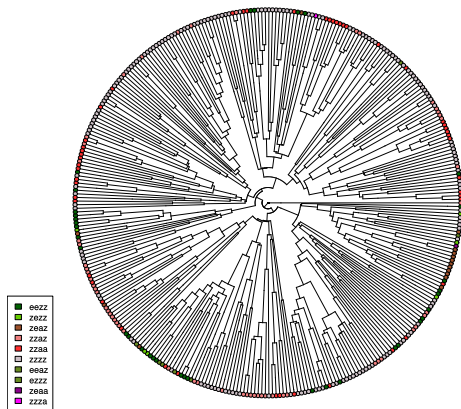


**Branching process**



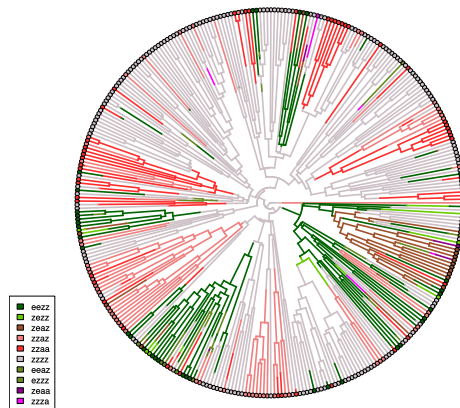
# Estimating rates of change

- if phylogeny and states of extant languages are known...



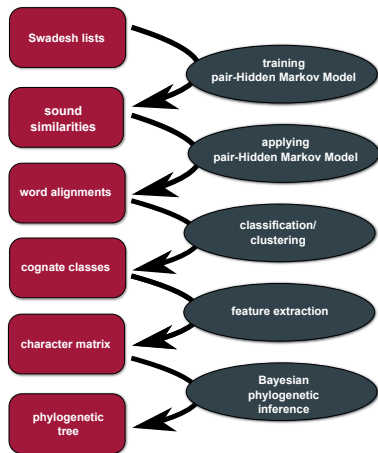
# Estimating rates of change

- if phylogeny and states of extant languages are known...
- ... transition rates and ancestral states can be estimated based on Markov model

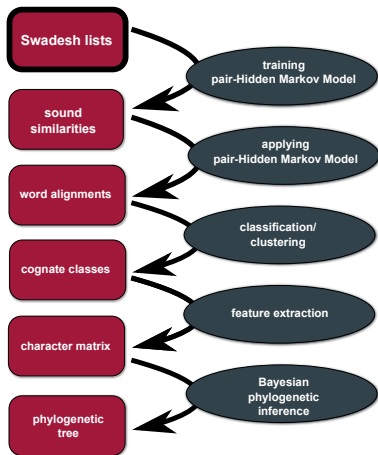


# Inferring a world tree of languages

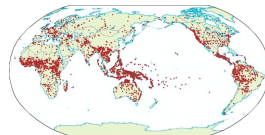
# From words to trees



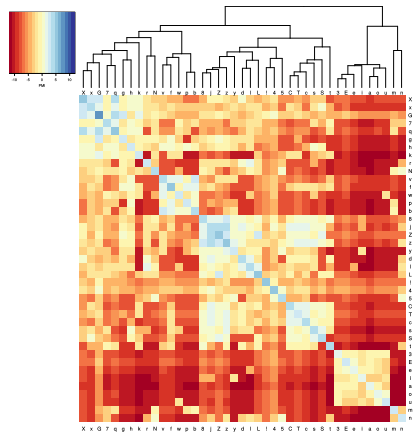
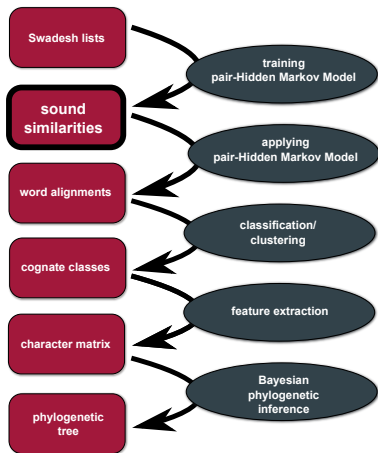
# From words to trees



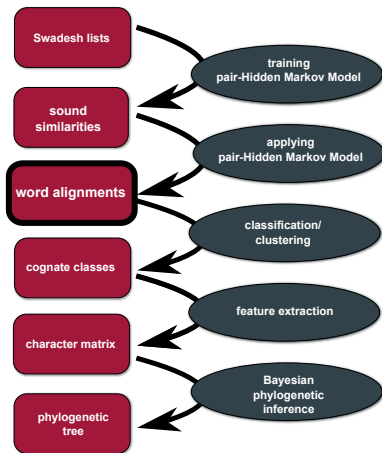
<i>concept</i>	Latin	English
<i>I</i>	ego	Ei
<i>you</i>	tu	yu
<i>we</i>	nos	wi
<i>one</i>	unus	w3n
<i>two</i>	duo	tu
<i>person</i>	persona, homo	pers3n
<i>fish</i>	piskis	fiS
<i>dog</i>	kanis	dag
<i>louse</i>	pedikulus	laus
<i>tree</i>	arbor	tri
<i>leaf</i>	foly~u*	lif
<i>skin</i>	kutis	skin
<i>blood</i>	saNgw~is	bl3d
<i>bone</i>	os	bon
<i>horn</i>	kornu	horn
<i>ear</i>	auris	ir
<i>eye</i>	okulus	Ei



# From words to trees



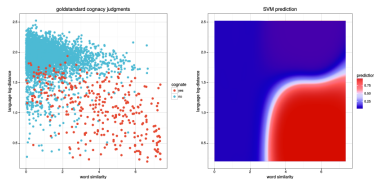
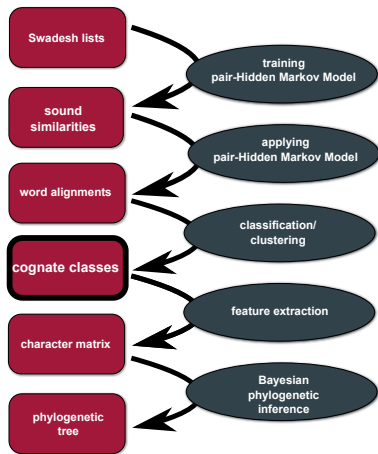
# From words to trees



Language	<i>fish:z</i>	<i>tongue:l</i>	<i>smoke:l</i>
Abui-Atangmelang	-af-u		
Abui-Fuimelang	-af-u	tal-i-fi--	
Adang	aab--	tal-E-b---	awai--b-a-n-o-7o-
Blagar-Bakalang	-ab--	--j-e-bur-	--ad--b-a-n-aNka-
Blagar-Bama	aab--	teg-e-bur-	-----b-e-n-a-xa-
Blagar-Kulijahi	-ab--	tej-e-bur-	-----b-e-n-aNka-
Blagar-Nule	aab--	tej-e-bur-	--ad--b-e-n-aNka-
Blagar-Tuntuli	aab--	tej-e-bur-	a-adgeb-a-n-a-q--
Blagar-Warsalelang	-ab--	tel-e-bur-	a-ad--b-a-n-a-x--
Bunaq			-----b-o-t-o-h--
Deing	haf--		-----buu-n-----
Hamap	7ab--	nar-ø-buN-	-----b-a-n-o-7--
Kabola	hab--	tal-e-b---	awal--b-e-n-e-7o-
Kaera-Padangsul	-ab--	talee-b---	a-ad--b-e-naa-x--
Kafoa	-afUi	tal-i-p---	-----f-o-n-a---
Kamang	-ap-i	nal--pu--	-----p-u-n--o-a-
Kiraman	-Eb--	nal-i-bar-	--ar--b-a-n-o-kan
Klon	-eb-i	gel-E-b---	--ed-ab-o-n-----
Kui	-eb--	tal-i-ber-	--ar--b-o-n-o-k--
Kula	-ap-i	-il-I-p---	-----p--n-ekka-
Nedebang	aaf-i	gel-e-fu--	--ar-ab-u-n-----
Reta	aab--	nal-e-bul-	a-ad--b-o-n-a---
Sar-Adiabang	haf--	--p-e-fal-	--ar--buu-n-----
Sar-Nule	haf--	nal-e-faj-	
Sawila	-ap-i	gal-impuru	-----p-u-n-a-ka-
Teiwa-Madar	xaf--	gel-i-vi--	-----buu-n-----
Wersing	-ap-i	nej-e-bur-	--ad-ap-u-n-a-k--
Wpantar	hap--	nal-e-bu--	-----b-unn-a---



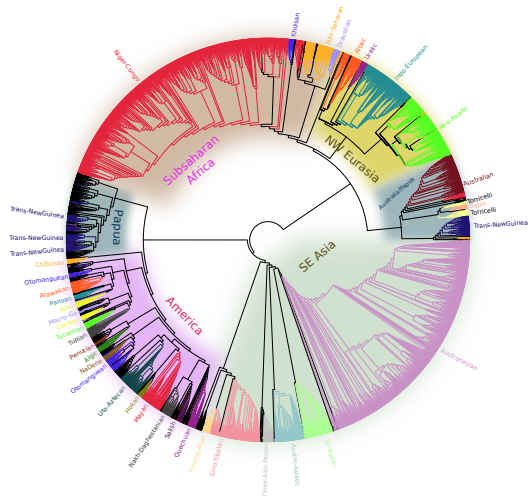
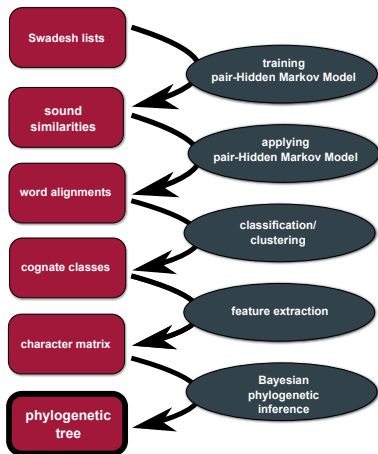
# From words to trees



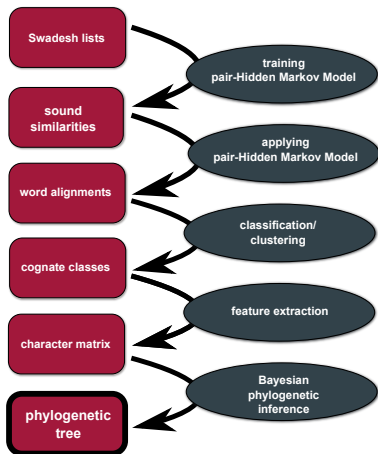
	English	Spanish	Modern Greek	Standard German
<i>I</i>	Ei:A	yo:B	exo:C	iX:D
<i>you</i>	yu:A	ustet:B, tu:C	esi:D	du:E
<i>we</i>	wi:A	nostros:B	emis:C	vir:A
<i>one</i>	w3n:A	uno:B	enas:C, ena:C	ains:D
<i>two</i>	tu:A	dos:B	8y~o:C, 8io:D	cvai:E
<i>person</i>	pers3n:A	persona:A	an8~ropos:B	nEnS:C
<i>fish</i>	fiS:A	peskado:A, pes:A	psari:B	fiS:A
<i>dog</i>	dag:A	pero:B	sTili:C, sTilos:C	hunt:D
<i>come</i>	k3n:A	veni:B	erx~o:C	kh~on3n:A
<i>sun</i>	s3n:A	sol:B	ily~os:C, iLos:C	zon3:A
<i>star</i>	star:A	estrella:A	asteri:A, astro:A	StErn:A
<i>water</i>	wat3r:A	agw~a:B	nero:C	van3r:A
<i>stone</i>	ston:A	pedra:B	petra:B	Stain:A
<i>fire</i>	fEir:A	fuego:B	foty~a:C	foia:D
<i>path</i>	pEB:A	senda:B	8romos:C	pf~at:A, vek:D
<i>mountain</i>	maunt3n:A	sero:B, monta5a:A	vuno:C, oros:D	bErk:E
<i>full</i>	ful:A	yeno:B	yematos:C, pliris:D	fol:A
<i>new</i>	nu:A	nuevo:A	neos:A, Tenury~os:B	noi:A
<i>name</i>	nem:A	nombre:A	onoma:A	nan3:A



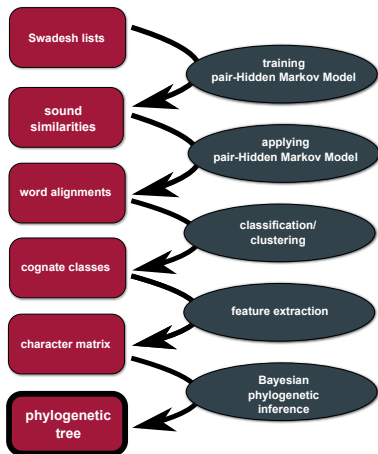
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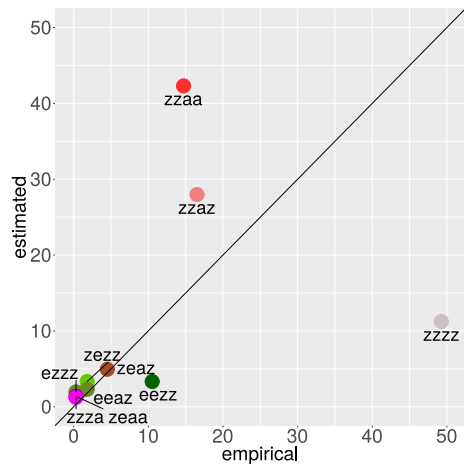


# Cases in equilibrium

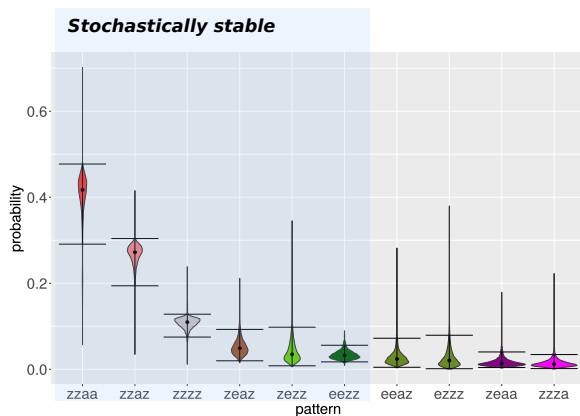


# Equilibrium probabilities

## Empirical vs. estimated percentages



## Posterior distribution

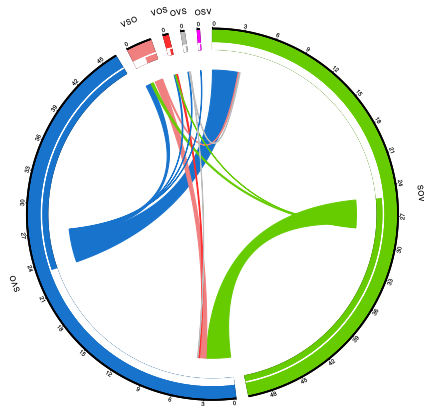




# Summary

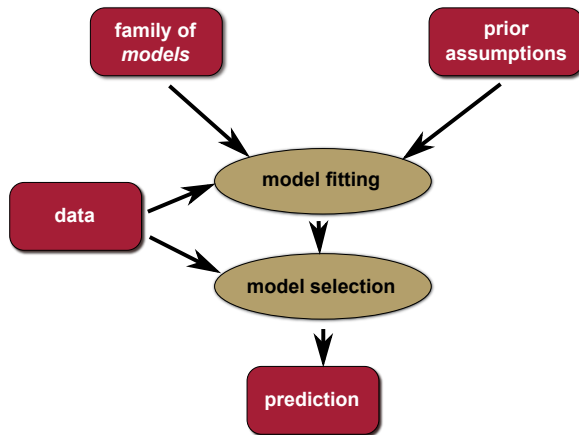
- three patterns occur with probability  $> 5\%$  in equilibrium:
  - non-differential accusative marking
  - differential accusative marking
  - no case marking
- all three are predicted to be stochastically stable
- ergative systems are conspicuously underrepresented

- method applicable to many typological issues



# Linguistics Quo Vadis (cont.)

# Statistical modeling of linguistic dynamics



# Topics

## Micro-dynamics

- pragmatics
- incremental processing
- language variation

## Macro-dynamics

- typology
- historical linguistics
- dialectometry

# Data

## Micro-dynamics

- corpora
- psycholinguistic experiments
- crowd sourcing

## Macro-dynamics

- cross-linguistic databases
- etymological dictionaries
- dialect atlases

# Models

## Micro-dynamics

- formal semantics and pragmatics
- rationalistic game theory
- classical comparative method

## Macro-dynamics

- evolutionary game theory
- phylogenetic inference
- population genetics

# Inference methods

## Micro- and macro-dynamics

- Bayesian inference
- approximate Bayesian computation
- machine learning
- agent-based simulations
- causal inference

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